

# Microstructure and topological analysis of Co : Al<sub>2</sub>O<sub>3</sub> nanocermets in new FCC and BCC metastable Co-structures

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Co : Al<sub>2</sub>O<sub>3</sub> nanocermets are synthesized by co-reducing Co<sup>2+</sup>-cations dispersed in a mesoporous AlO(OH) · αH<sub>2</sub>O matrix (amorphous) in a pure H<sub>2</sub> atmosphere at 850–1150 K. The dispersed Co<sup>2+</sup>-cations in pores co-reduce to separated Co-particles of controlled size, as small as 50 nm, encapsulated in thin Al<sub>2</sub>O<sub>3</sub> films, which are formed in-situ by molecular decomposition of the matrix, 2AlO(OH) · αH<sub>2</sub>O → Al<sub>2</sub>O<sub>3</sub> + (2α + 1)H<sub>2</sub>O. The Al<sub>2</sub>O<sub>3</sub> film which coats Co-particles has an amorphous structure. This is possible only if it is extremely thin limited to a thickness of  $t < 2r_c$ , with  $r_c \sim 1.9$  nm the critical size of its nucleation and growth into a stable crystallite. The thin Al<sub>2</sub>O<sub>3</sub> surface film supports the formation and existence of Co-particles in a modified FCC or BCC crystal structure. As a result, unusually, large crystallites of an average 100 nm diameter could be observed. Normally, such large particles of pure Co-metal exist in an HCP structure which undergoes a reversible martensitic transformation to FCC structure at 695 K. The results are analyzed and discussed in terms of microstructure, x-ray diffraction and XPS studies of nanocermets prepared under selected conditions. © 2001 Kluwer Academic Publishers

## 1. Introduction

Al<sub>2</sub>O<sub>3</sub> possesses high refractoriness, good wear resistance and chemical stability [1–3]. Its applications as structural materials or cutting tools, however, are limited by its low fracture toughness which can hardly alleviate stress concentration by applied stress resulting from a surface flaw or internal flaw. Many attempts have been made to design ceramic matrix composites (CMCs) by dispersing metal [3–5] and ceramic second particles [1, 5] in Al<sub>2</sub>O<sub>3</sub> matrix for an improved mechanical strength. In general, a dispersion of ceramic particles in an Al<sub>2</sub>O<sub>3</sub> matrix is more effective in increasing the final strength with a slight improvement in the fracture toughness than that of metal particles. The coefficient of thermal expansion (CTE) is smaller in the dispersed particles ( $\alpha_d$ ) compared to that in the matrix ( $\alpha_m$ ). On the other hand, when the CTE of the reinforced particles exceeds that of the matrix, comprehensive thermal residual stress is generated in the bulk of the matrix. It results in an enhanced fracture toughness in metal particle reinforced CMCs. In Co: Al<sub>2</sub>O<sub>3</sub>, the CTE of cobalt is larger than that of Al<sub>2</sub>O<sub>3</sub> [6, 7].

Investigations relating to encapsulation of metal particles within the pores of porous ceramics of pore size at

a nanometer scale have received much attention nowadays owing to their obvious technological promises. Several groups of researchers [8, 9] have succeeded in encapsulating ferromagnetic metal particles in carbon clusters. Mesoporous Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> are the other host materials for such purpose [10–12]. Current interest is not only focused on the encapsulation process and to establish the growth mechanism but also on the modified properties of the encapsulated particles.

During encapsulating in carbon clusters, the metal particles often chemically react with carbon and forms carbides [9]. As a result, the metal is not encapsulated in the elemental form but as carbides. Such a situation does not promote the prospect of encapsulating the ferromagnetic Co, Fe, or Ni particles in carbon clusters since most of their carbides are nonmagnetic or only weakly magnetic. Several advantages can be achieved if the metal particles are encapsulated in their elemental state. Magnetic particles of critical single domain size, i.e.  $D_c = 20$  nm for a pure Co-metal [13], are especially useful for magnetic energy storage, magnetic recording, magnetic resonance imaging, ferrofluids and several other applications [13–16]. A large surface area to volume ratio of such small particles encounters the

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problem of rapid surface oxidation. So to have the very small metal particles protected from deterioration by a known chemically resistant ceramic material could solve the problem.

Recently, a porous  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$ , a precursor of  $\text{Al}_2\text{O}_3$  ceramics, has been developed at this laboratory by an electrochemical surface hydrolysis of Al-metal in a humid air [3]. This can easily encapsulate the metal particles within its pores to a large extent which may be as  $\sim 80$  mass %. Being characteristically inert and highly stable it provides a high stability to the coated metal particles. In this article, it is demonstrated that Co-metal particles of controlled size at nanometer scale can be encapsulated by a thin ceramic layer of  $\text{Al}_2\text{O}_3$ . The  $\text{Al}_2\text{O}_3$  layer assists in nucleation and growth of stable Co-crystallites in otherwise less favorable FCC and BCC allotropes of size as big as 100 nm. The synthesized Co-cermet particles are further characterized through microstructural investigation, x-ray diffractometry and XPS studies.

## 2. Experimental details

The preparation of Co-particles encapsulated in thin amorphous  $\text{Al}_2\text{O}_3$  films, of thickness  $t < 2r_c$ , with  $r_c \sim 1.9$  nm the critical size of a stable  $\text{Al}_2\text{O}_3$  crystallite, by co-reduction of  $\text{Co}^{2+}$ -cations dispersed in a porous  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  gel is carried out as follows.

The  $\text{Co}^{2+}$ -cations were dispersed in  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  by adding 20 to 50 ml of an aqueous  $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$  (99.9% pure) solution, of 1 to 5 M concentration, in a 2 to 5 g batch of a porous  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  powder drop wise while stirring the mixture with a magnetic stirrer. An exothermic reaction occurs with evolution of some gas (trapped in pores in the porous precursor) and water vapour. Ultimately, a paste is formed which transforms to an amorphous  $\text{Co}^{2+} : \text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  gel of characteristic pink color on treating with a molar  $\text{NaBH}_4$  (a reducing agent) solution in water. In this process, the  $\text{NaBH}_4$  locally co-reduces  $\text{Co}^{2+}$ -cations into Co metal particles which instantly react with water and form a gel with  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$ . Thus, several batches of concentrated gels with  $\text{Co}^{2+}$ -cations between 40 and 70 mass % could be obtained. The gel thus obtained was repeatedly washed in distilled water and dried at room temperature for several days. The  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  used in this reaction had an initial porosity of  $\sim 91\%$ . It was synthesized by an electrochemical surface hydrolysis of 99.9% pure Al-metal in a humid air [3].

On heating the gel in  $\text{H}_2$  atmosphere at 850–1150 K, the  $\text{Co}^{2+}$ -cations dispersed in the gel get co-reduced to Co-particles (in 50 to 100 nm controlled size) encapsulated in thin  $\text{Al}_2\text{O}_3$  amorphous films, formed by the molecular decomposition of  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  that occurs in-situ. At such temperature range, no co-reduction of  $\text{Al}^{3+}$ -cations occurs which need a higher oxidation potential  $\phi_1 = -1.662$  V against  $\phi_2 = -0.28$  V for  $\text{Co}^{2+}$ -cations [17]. Being a thermally very rigid (m.p. 2323 K) material, the  $\text{Al}_2\text{O}_3$  matrix allows only a moderate nucleation and growth of Co-particles in divided reaction centres through it. As a result, they develop a

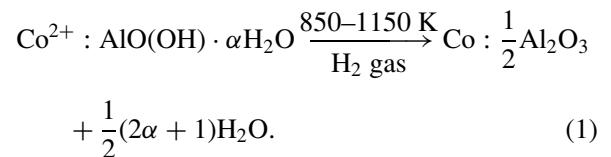
modified crystal structure of FCC or BCC instead of HCP.

Microstructure of the samples was studied with a scanning electron microscope (SEM) attached with an energy dispersive x-ray spectrum analyzer for in-situ analysis of their elemental composition. The phase analysis was carried out with x-ray powder diffraction. The diffractogram was recorded on a P. W. 1710 diffractometer using a filtered  $\text{CoK}\alpha$  radiation of wavelength  $\lambda = 0.17904$  nm. Further, surface structure and surface composition of  $\text{Al}_2\text{O}_3$  coated Co-particles were analyzed with XPS studies.

## 3. Results and discussion

### 3.1. Microstructures in annealing-induced structural changes

On heating the gel at 850–1150 K in  $\text{H}_2$  atmosphere, the  $\text{Co}^{2+}$ -cations dispersed in porous  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  (amorphous) get locally co-reduced to Co-particles and simultaneously  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  decomposes to  $\text{Al}_2\text{O}_3$  as per the reaction,



The reaction starts at 800 K and produces pure co-metal at and above 850 K in 30 min. The  $\text{Al}_2\text{O}_3$ , which coats individual Co-particles during the co-reduction in thin layer(s), retains an amorphous structure. It is highly stable and does not crystallize under the present experimental conditions. Thermodynamically, this is possible only if it exists in an extremely thin layer satisfying  $t < 2r_c$ . A crystallite (of spherical shape) is stable when its radius  $r \geq r_c$ , with  $r_c = 2\sigma/\Delta G_v$  [17, 18]. Using the numerical values of  $\sigma = 0.70$  J/m<sup>2</sup>, the surface energy density and  $\Delta G_v = 0.74 \times 10^9$  J/m<sup>3</sup>, the Gibb's free-energy of formation of crystalline  $\text{Al}_2\text{O}_3$  from its amorphous state [18], a value of  $r_c$  is calculated to be 1.9 nm. So, the film would retain its amorphous structure as long as its  $t$  does not exceed the critical value,  $t_c = 2r_c \sim 3.8$  nm. A bulk  $\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  readily transforms into a crystalline  $\text{Al}_2\text{O}_3$  under similar conditions [4].

A thin  $\text{Al}_2\text{O}_3$  surface layer,  $t \leq 3.8$  nm, if formed over a growing Co-particle, efficiently controls its growth by slowing down the diffusion process of reaction species through it.  $\text{Al}_2\text{O}_3$ , which is a thermally very rigid material with m.p. 2323 K, interbridges a thermally rigid  $\text{Al}_2\text{O}_3$ -Co interface with the metal surface. That does not permit a fast diffusion of  $\text{Co}^{2+}$ -cations through it in an independent growth of the Co-particle. As a result, a controlled microstructure of Co-crystallites and/or particles appears in their strictly controlled 50 to 100 nm size when coated with a thin amorphous layer of  $\text{Al}_2\text{O}_3$  ceramics.

Fig. 1 shows SEM micrographs of three Co:  $\text{Al}_2\text{O}_3$  samples co-reduced from a gel (containing  $\sim 50$  mass %  $\text{Co}^{2+}$ -cations) for 30 min at (a) 870 K, (b) 970 K and (c) 1125 K. In Fig. 1a, most of the particles (except some

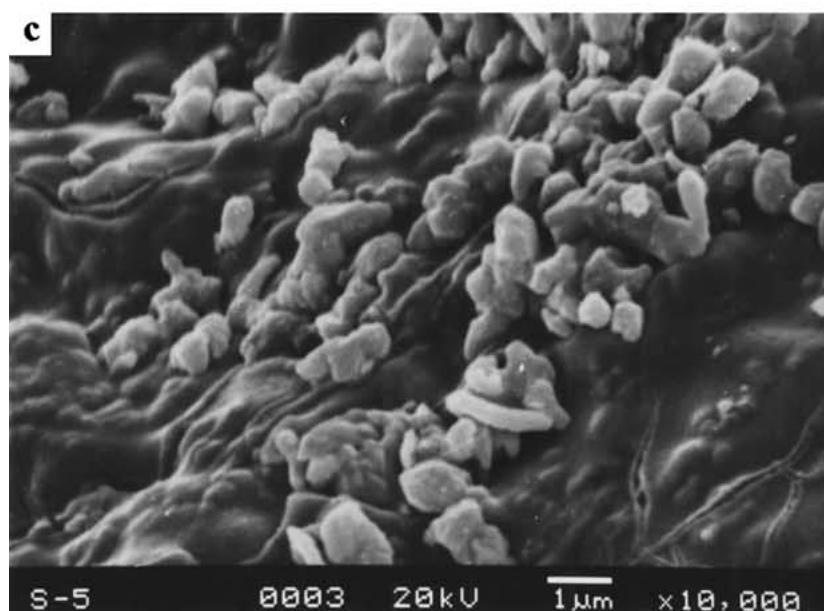
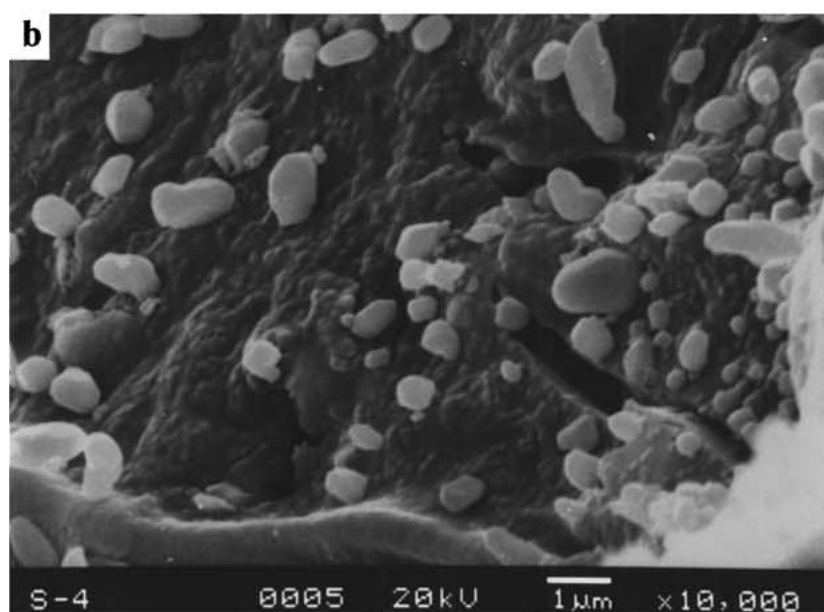
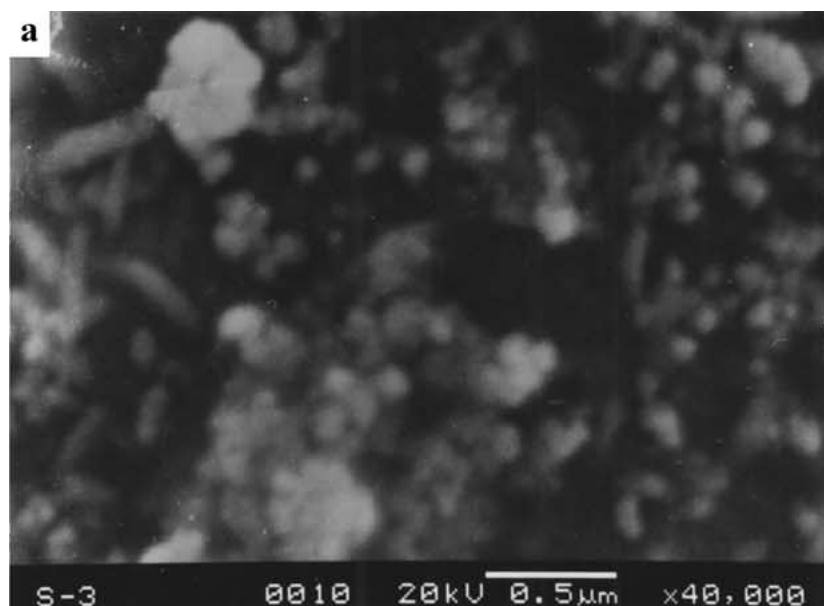


Figure 1 SEM (SEI) micrographs after co-reduction of  $\text{Co}^{2+}:\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  ( $\text{Co}^{2+}$  content is  $\sim 50$  mass %) in  $\text{H}_2$  gas for 30 min at (a) 870 K and (b) 970 K. Micrograph (c) corresponds to sample (b) reheated at 1125 K in  $\text{H}_2$  gas for 30 min.

acicular particles of length  $L = 200$  nm and aspect ratio  $\varphi = 6$ ) are in a spherical morphology of size between 50 and 100 nm. In-situ elemental analysis confirms the presence of Co-particles. A Debye-Scherrer analysis [19] of peakwidths,  $\Delta 2\theta_{1/2}$ , in the x-diffraction peaks suggests that the average crystallite size ( $D$ ) is  $\sim 75$  nm. A close similarity of the  $D$ -value to the size of particles (small ones) observed in the SEM micrograph indicates that most of the particles are single crystallites. An increase in the co-reduction temperature from 870 K to either at 970 K or at 1125 K facilitates recombination of crystallites into polycrystalline particles of an average size of 400 nm in (b) and 300 nm in (c). However, some single crystallite particles,  $D = 60$  to 100 nm, are still found to be present in either sample.

A comparison of the three SEM microstructures of Co-particles in Fig. 1 reveals that they are presumably covered with thin  $\text{Al}_2\text{O}_3$ -layers. The sample (a) prepared at 870 K has a single indistinct layer which intimately adheres to the metal surface. More than two distinct layers are present in the samples co-reduced at higher (b) 970 K and (c) 1125 K temperatures. Part of the multilayers peels off on heating at 1125 K and leaves the metal particles coated only with a single layer behind. The microstructure in Fig. 1c, therefore, exhibits a rather distinct and several micrometer long  $\text{Al}_2\text{O}_3$  - layers with embedded metal particles. This accounts for its smaller size of particles of 300 nm in comparison to 400 nm for the sample co-reduced at lower temperature 970 K in Fig. 1b. The average crystallite size, as determined from the x-ray diffraction peak-widths, is found to increase from (a) 68 nm to (b) 85 nm to (c) 95 nm with rise in the processing temperature. The results not only demonstrate that the metal particles are well protected by the  $\text{Al}_2\text{O}_3$ -coating layers but also suggest that the metal particles cause amorphization of the  $\text{Al}_2\text{O}_3$  in thin layers with  $t < 2r_c$ . Accordingly, the  $\text{Al}_2\text{O}_3$  in the thin layers unusually does not recrystallize even at temperature as high as 1125 K.

In the Co:  $\text{Al}_2\text{O}_3$  cermets, a significant part of  $\text{Al}_2\text{O}_3$  does appear as an independent phase. It is molecularly dispersed (in an amorphous structure) in between the cermet particles on segregation and co-reduction of  $\text{Co}^{2+}$ -cations in the gel during the co-reduction process. Molecular decomposition of gel into a dispersed  $\text{Al}_2\text{O}_3$  structure at a molecular scale occurs during heating it to perform the co-reduction reaction. A similar observation is reported in the study of encapsulation of Co-nanoparticles or other metal particles in carbon clusters [9]. The metal particles promote graphitization of the carbon-coating in thin layers. On annealing at high temperature, as 1370 K, the metal particles sinter to big chunks leaving behind the carbon-coating shells. As a consequence, the structure consists of numerous empty polyhedral multiwalled graphitic shells.

### 3.2. X-ray diffractograms in annealing-induced structural changes

X-ray diffractograms of the above three cermets are reproduced in Fig. 2a–c respectively. All the

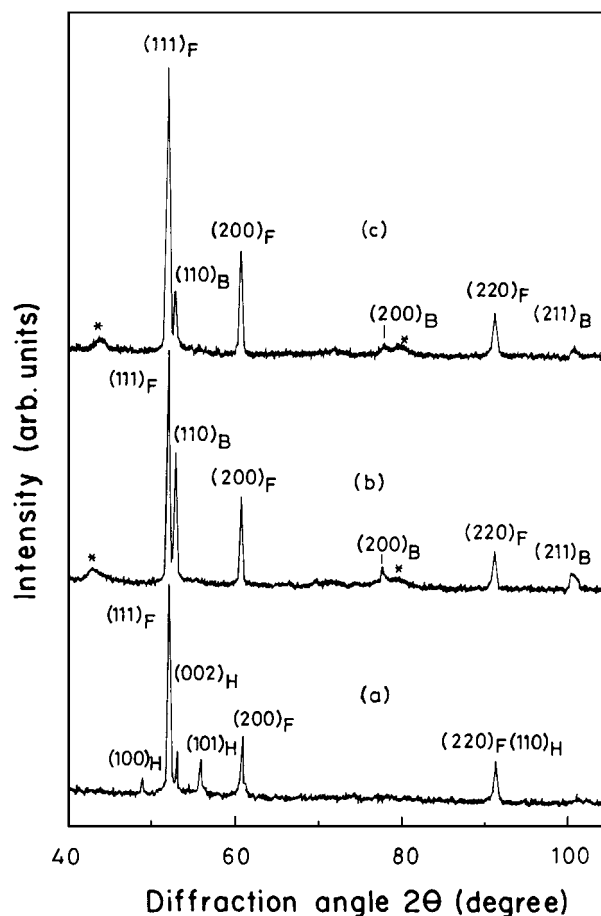


Figure 2 X-ray diffractograms after co-reduction of  $\text{Co}^{2+}:\text{AlO}(\text{OH}) \cdot \alpha\text{H}_2\text{O}$  in  $\text{H}_2$  gas at (a) 870 K, (b) 970 K, and (c) 1125 K for 30 min. The peaks in FCC, HCP and BCC phases of Co-particles are marked by letters F, H, and B along with the  $(hkl)$  values. Two unidentified diffuse peaks (\*) around  $44^\circ$  and  $79^\circ$  are due to  $\text{Al}_2\text{O}_3$  matrix.

diffraction peaks, which are characteristically sharp,  $\Delta 2\theta_{1/2} \leq 0.25^\circ$ , belong to binary (a) FCC-HCP, (b) FCC-BCC and (c) FCC-BCC phase mixture of Co-metal particles. The relative intensities in these peaks vary with volume fractions of  $f_{\text{FCC}}$ ,  $f_{\text{HCP}}$  and  $f_{\text{BCC}}$  in the respective phases of the three samples. The diffractogram in Fig. 2a, of the sample processed at 870 K, has a total of six distinct peaks at  $d_{hkl}$  interplanar spacing of 0.2161, 0.2014 and 0.1903 nm in (100), (002) and (101) reflections from the HCP lattice and at 0.2042, 0.1768 and 0.1250 nm in (111), (200) and (220) reflections from the FCC lattice. The two distinct phases are clearly observed in the SEM micrograph, in Fig. 1a, with their different acicular (HCP) and spherical (FCC) morphologies. The HCP phase, with lattice parameters  $a = 0.2495$  nm,  $c = 0.4028$  nm and aspect ratio  $c/a = 1.61$ , is grown primarily along the  $c$ -crystallographic axis according to the elongated shape of its crystal unit cell.

The HCP phase in Fig. 2a has a significantly modified intensity profile of the standard x-ray powder diffractogram. For example, the (002) peak at 0.2014 nm (0.2023 nm the standard value) appears to be the most intense peak with the second intense peak in (101) reflection at 1.903 nm (0.1910 nm the standard value). An opposite order of intensity profile exists in the standard diffractogram which has the most intense peak in (101)

reflection. This marked difference in intensity profile ascribes to the acicular morphology of the crystallites along their  $c$ -axes in this example. The manifestation of number of  $(00\ell)$  planes in the elongated crystallites along  $c$ -axis ( $\{00\ell\}$  direction) accounts for the observed intensity in the  $(002)$  peak.

On raising the processing temperature above 870 K, the Co-particles develop a BCC structure at the expense of the HCP structure, as demonstrated by the diffractograms in Fig. 2b and 2c. Its volume fraction  $f_{\text{BCC}}$  can be estimated using the fractional integrated intensity  $\sum_{i=1}^m (I_i)_{\text{BCC}}$  in its characteristic x-ray diffraction peaks  $i$  with the relation,

$$f_{\text{BCC}} = \frac{\sum_{i=1}^m K_{\text{BCC}}(I_i)_{\text{BCC}}}{\sum_{i=1}^m K_{\text{BCC}}(I_i)_{\text{BCC}} + \sum_{j=1}^n K_{\text{FCC}}(I_j)_{\text{FCC}}}. \quad (2)$$

The summation  $\Sigma$  extends over the numbers of the peaks  $i = 1 \rightarrow m$  and  $j = 1 \rightarrow n$  in the BCC and FCC phases. Other parameters in this relation are the correlation factors  $K_{\text{BCC}}$  and  $K_{\text{FCC}}$ , which correlate their volume fractions  $V_{\text{BCC}}$  and  $V_{\text{FCC}}$  with their integral intensities, i.e.,

$$K_{\text{BCC}} = \frac{V_{\text{BCC}}}{\sum_{i=1}^m (I_i)_{\text{BCC}}}, \quad (3)$$

and

$$K_{\text{FCC}} = \frac{V_{\text{FCC}}}{\sum_{i=j}^n (I_i)_{\text{FCC}}}. \quad (4)$$

Assuming  $K_{\text{BCC}} = K_{\text{FCC}}$ , relation (2) simplifies to

$$f_{\text{BCC}} = \frac{\sum_{i=1}^m (I_i)_{\text{BCC}}}{\sum_{i=1}^m (I_i)_{\text{BCC}} + \sum_{j=1}^n (I_j)_{\text{FCC}}}. \quad (5)$$

It permits the estimation of  $f_{\text{BCC}}$ . Now, substituting for  $f_{\text{FCC}} = 1 - f_{\text{BCC}}$ , one obtains for

$$f_{\text{FCC}} = \frac{\sum_{i=1}^m (I_i)_{\text{FCC}}}{\sum_{i=1}^m (I_i)_{\text{BCC}} + \sum_{j=1}^n (I_j)_{\text{FCC}}}. \quad (6)$$

These simple relations of (5) and (6) provide means for estimation of volume fractions of the two phases. The estimated value of  $f_{\text{BCC}}$  comes out to be 35% for the sample co-reduced at 970 K for 30 min. It does not improve on prolonging the reduction at this temperature, rather decreases on raising the temperature above 970 K. A diminished value of  $f_{\text{BCC}} = 15\%$  thus appears in equilibrium with FCC phase in the sample co-reduced at 1125 K (Fig. 2c).

TABLE I Crystal structure, lattice parameters, and lattice volume in three allotropes of Co-particles coated with a thin amorphous  $\text{Al}_2\text{O}_3$  surface layer

Allotrope	Crystallite size <sup>a</sup> (nm)	Lattice parameters (nm) <sup>b</sup>		Lattice volume (nm <sup>3</sup> )
		$a$	$c$	
HCP	75	0.2495 (0.2507)	0.4028 (0.4070)	0.0652 (0.0665)
FCC	75	0.3536 (0.3547)		0.0442 (0.0446)
BCC	85	0.2840		0.0229

<sup>a</sup>The crystallite size is determined from widths in the x-ray diffraction peaks.

<sup>b</sup>The standard values of the lattice parameters given in the parentheses are taken from Ref. 20.

The FCC as well as the BCC phase, if once formed, remains highly stable in a thermodynamic FCC-BCC equilibrium with the surface coating of a thin amorphous  $\text{Al}_2\text{O}_3$ -layer in  $t < 2r_c$ . They do not get converted to the common HCP structure even at a temperature as high as 1125 K. This is demonstrated in Fig. 3 by diffractograms of the (a) as co-reduced sample at 970 K for 30 min and (b) subsequently heated 30 min at 1125 K in  $\text{H}_2$  gas. The  $f_{\text{BCC}}$  value marginally improved from 35 to 44% at the expense of FCC phase after the heating. However, the  $\text{Al}_2\text{O}_3$  matrix continues to remain amorphous.

The lattice parameters and lattice volumes of the three phases of Co-particles coated with  $\text{Al}_2\text{O}_3$  are given in Table I. The FCC lattice (volume) is twice as large as the BCC lattice and the HCP lattice is 1.5 times the FCC lattice. The lattice volume in HCP phase is found to be reduced by 2.5% in comparison to the bulk value [20], owing to the confined particle size effects [14]. The effect of particle size is not so significant in FCC phase which has a more or less the same value of the lattice volume as the standard value [20]. During the co-reduction process, a controlled  $\text{Co}^{2+}$ -cation diffusion through the  $\text{Al}_2\text{O}_3$  matrix allows a controlled nucleation and growth ( $R$ ) to form Co-crystallites. A thin and rigid  $\text{Al}_2\text{O}_3$  surface-layer forms over the growing Co-particles at a critical temperature as 970 K. It effectively controls the value of  $R$  in such a way so that only the FCC phase, which has a smaller lattice volume, nucleates first and grows to form stable particles. A BCC lattice, if at all forms, would involve a further smaller volume but with a smaller atomic packing factor  $\Phi = 0.68$  than 0.74 for the FCC or HCP lattice. This would result in a metastable microstructure with a relatively high structural energy at extremely small value of  $R$ . Therefore, it occurs only in association with the FCC phase. Possibly, it forms by  $\text{Co}^{2+}$ -cations trapped in  $\text{Al}_2\text{O}_3$ -surface layers over the stable Co-particles during the co-reduction process.

The  $\text{Al}_2\text{O}_3$ -layer is very thin and porous when co-reduction is conducted at a temperature as low as 870 K (Fig. 2a). Since it does not inhibit  $\text{Co}^{2+}$ -cation diffusion very efficiently, an almost unhindered nucleation and growth of Co-particles dispersed in the  $\text{Al}_2\text{O}_3$  matrix occurs. This results in a different FCC-HCP two-phase microstructure (involving a lattice volume or energy of

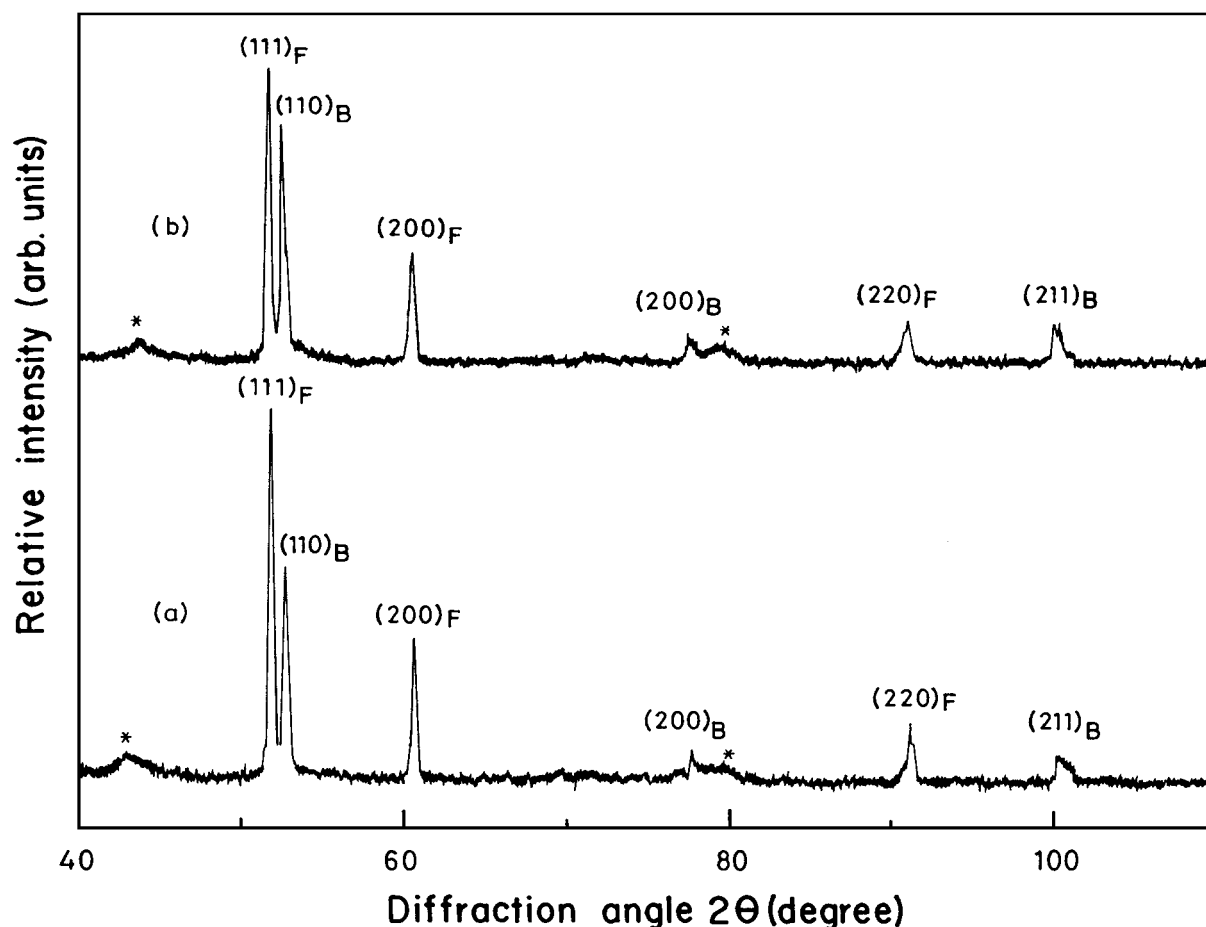


Figure 3 X-ray diffractograms demonstrating thermal stability of  $\text{Al}_2\text{O}_3$  coated Co-particles (co-reduced at 970 K in  $\text{H}_2$  gas) in FCC and BCC crystal structures; (a) before and (b) after annealing at 1125 K for 30 min in  $\text{H}_2$  gas. The peaks (\*) around  $44^\circ$  and  $79^\circ$  are due to  $\text{Al}_2\text{O}_3$  matrix.

formation at a larger scale than the FCC-BCC) satisfying the conditions of a fast release of the free-energy in its formation.

A pure bulk Co-metal exists in HCP structure (low temperature phase) and has a reversible martensitic transformation to the FCC phase (high temperature phase) near 695 K [21, 22]. It is also reported that in fine particles, the FCC  $\rightarrow$  HCP phase transformation is considerably suppressed on cooling. Sato *et al.* [23] prepared Co-particles in a single FCC phase by a sputtering method and studied the size effects on the FCC  $\rightarrow$  HCP transformation. It is inhibited down to 28 K in particles of 10 nm diameter. Hung *et al.* [24] studied the allotropic phase transformation by milling a bulk Co-powder in an inert gas atmosphere. It follows a sequence of HCP + FCC  $\rightarrow$  HCP, HCP + FCC  $\rightarrow$  HCP  $\rightarrow$  FCC + HCP, and HCP + FCC  $\rightarrow$  HCP  $\rightarrow$  FCC + HCP  $\rightarrow$  FCC, transformation routes. These phase transformations in bulk Co-metal are basically induced by a refinement of its microstructure which stores a large amount of structural-energy  $E_s$  in defects, imperfections and high angle grain boundaries caused during the milling process [24]. According to thermodynamics, it follows a self-reorganization of its final structure with creation of new surfaces in stable particles so that they involve a minimal free-energy (or volume) of formation at the expense of  $E_s$ . In this process, the FCC phase, which involves a less lattice volume than the HCP phase by  $\sim 30\%$

(Table I), satisfies this conjuncture and reforms in a stable microstructure at a nanometer scale.

The Co-particles coated with a thin amorphous  $\text{Al}_2\text{O}_3$  surface film, in this study, are peculiarly stable both in the FCC as well as in the BCC structure even in rather large sizes as 50 to 100 nm. It is clear that the  $\text{Al}_2\text{O}_3$  matrix phase and the surface coating of individual Co-particles by a thin amorphous  $\text{Al}_2\text{O}_3$  film are the two key factors which extend and support for their improved stability to such big sizes. Otherwise, they would have automatically get converted to the HCP bulk structure. The observed results are useful in stabilizing and designing of novel cermets and metal reinforced ceramics.

### 3.3. XPS studies

X-ray photoelectron spectroscopy (XPS) is a very useful surface analytical technique for detecting surface atoms or molecules down to a few atomic layers from the surface [25, 26]. When a high-energy radiation of energy  $h\nu$  (used to induce the XPS signal from the specimen) is incident on the specimen, the photons in the radiation collide with it and eject a core electron from an atom from its surface, leaving behind its ion. Ejected electron departs with a kinetic energy  $E_K$  according to its binding energy  $E_b$  and that is measured by XPS using the relation [26],

$$E_b = h\nu - E_K \quad (7)$$

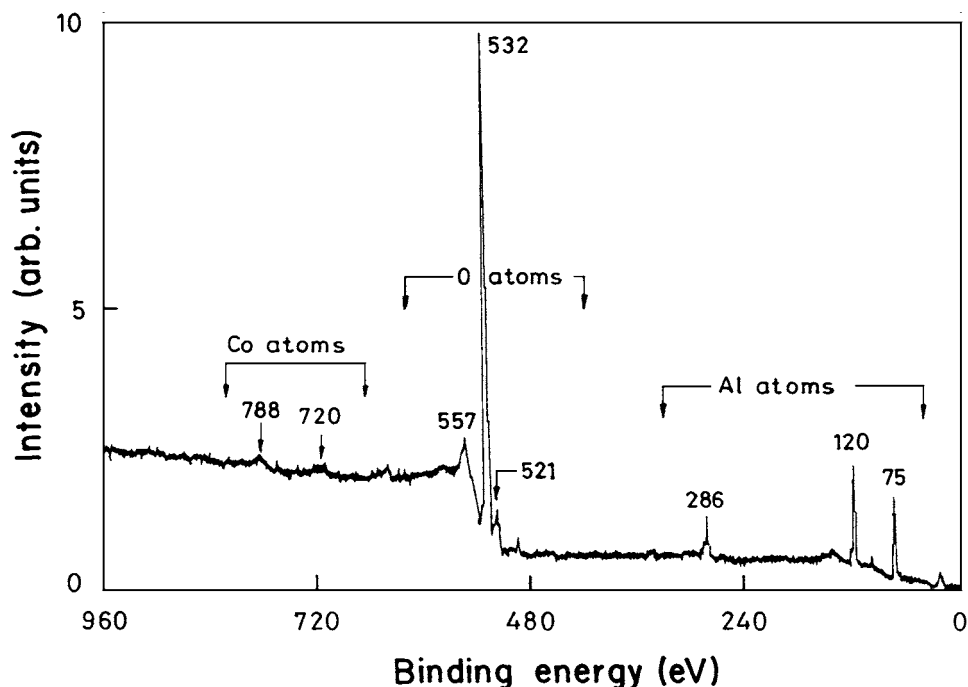


Figure 4 XPS spectrum of the  $\text{Al}_2\text{O}_3$  coated Co-particles. The sample is prepared by co-reduction at 970 K and subsequently annealed at 1125 K for 30 min in  $\text{H}_2$  gas.

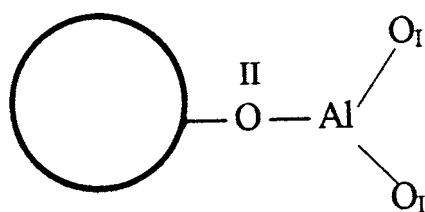


Figure 5 A schematic representation of the interbridging of  $\text{Al}_2\text{O}_3$  molecule with metal surface in a Co-particle (shown by the circle) coated with a thin  $\text{Al}_2\text{O}_3$ -surface layer.

An XPS spectrum (from 0 to 960 eV) thus obtained for a Co:  $\text{Al}_2\text{O}_3$  sample (co-reduced at 970 K and then annealed 30 min at 1125 K in  $\text{H}_2$  gas) is shown in Fig. 4. As expected, the most intense band (with peak intensity  $I_p = 100$  units and bandwidth  $\Delta E_{a1/2} = 2.9$  eV) of the spectrum occurs due to oxygen atoms in  $\text{Al}_2\text{O}_3$  at 532.0 eV in O1S excitation. It is accompanied by two satellite O1S bands at 521.4 and 557.2 eV in two types of  $\text{O}_I$  and  $\text{O}_{II}$  atoms of the  $\text{Al}_2\text{O}_3$  molecule involved in interbridging with a Co-atom at the interface of an  $\text{Al}_2\text{O}_3$  coated Co-particle as shown below in Fig. 5. The 557.2 eV band, which involves  $\text{O}_{II}$  atom, interconnecting the  $\text{Al}_2\text{O}_3$  molecule to the Co-particle, is characteristically broad with  $\Delta E_{a1/2} = 7.5$  eV. Its enhanced  $E_b$ -value with respect to 531.1 eV in the O1S band of  $\text{Al}_2\text{O}_3$  in the matrix, confirms that the O atoms in the interface are more tightly bonded than those in pure  $\text{Al}_2\text{O}_3$  molecules. A single O1S band occurs at 531.0 eV in pure oxygen, at 531.1 eV in pure  $\text{Al}_2\text{O}_3$ , and at 536.1 eV in Co-oxide  $\text{Co}_3\text{O}_4$  [26].

Three sharp bands occur, in rather weak intensities,  $I_p \leq 20$  units, at  $E_b = 75.0$ , 120.0 and 286.0 eV in  $2\text{P}_{3/2}$ ,  $2\text{S}$ , and  $2\text{P}_{1/2}$  characteristic electronic transitions in Al atoms in  $\text{Al}_2\text{O}_3$ . These compare well with those reported at 74.3, 120.0 and 285.0 eV in pure  $\text{Al}_2\text{O}_3$  [27]. The integrated intensity  $I_t$  in these bands covers

33% intensity in the total spectrum in the 0 to 600 eV range. This is in a significantly smaller ratio than the ratio (40%) of Al atoms in  $\text{Al}_2\text{O}_3$ . As expected, this small difference ascribes to a small fraction of O atoms present as Co-oxides in the surface layer. The  $I_t$  value has been analyzed very precisely from the spectrum recorded at an expanded  $E_b$  scale. For example, the spectra in the  $\text{Al}2\text{P}_{3/2}$  and O1S bands are reproduced in Figs 6 and 7.

Pure Co-metal has a characteristic  $\text{CoP}_{3/2}$  band at 782.0 eV [26, 27]. The corresponding band in  $\text{Al}_2\text{O}_3$  encapsulated Co-particle appears in an extremely weak intensity but with a reasonably enhanced energy at 788.0 eV. Another similar weak band appears at 720.0 eV. It is the Co-Auger band [27]. Other details of all the observed bands are summarized in Table II. The results unambiguously confirm that the  $\text{Al}_2\text{O}_3$ -surface-layer even though very thin but thoroughly covers individual Co-particles so that it prevents XPS excitation from the coated metal surface.

TABLE II Binding energy, relative intensity, and involved electronic levels in XPS bands observed in Co-particles coated with a thin amorphous  $\text{Al}_2\text{O}_3$  surface layer

Band position (eV)	Intensity (arb. units) <sup>a</sup>	Source of excitation	Electronic level
75.0	15	Al atom	$\text{Al}2\text{P}_{3/2}$
120.0	20	Al atom	$\text{Al}2\text{S}$
286.0	8	Al atom	$\text{Al}2\text{P}_{1/2}$
521.4	7	O atom (interface)	O1S
532.0	100	O atom	O1S
557.2	10	O atoms (interface)	O1S
720.0	1	Co atom	Auger line
788.0	1	Co atom	$\text{Co}2\text{P}_{3/2}$

<sup>a</sup>The intensity is given at a relative scale assuming a value of 100 units for the most intense band at 532.0 eV.

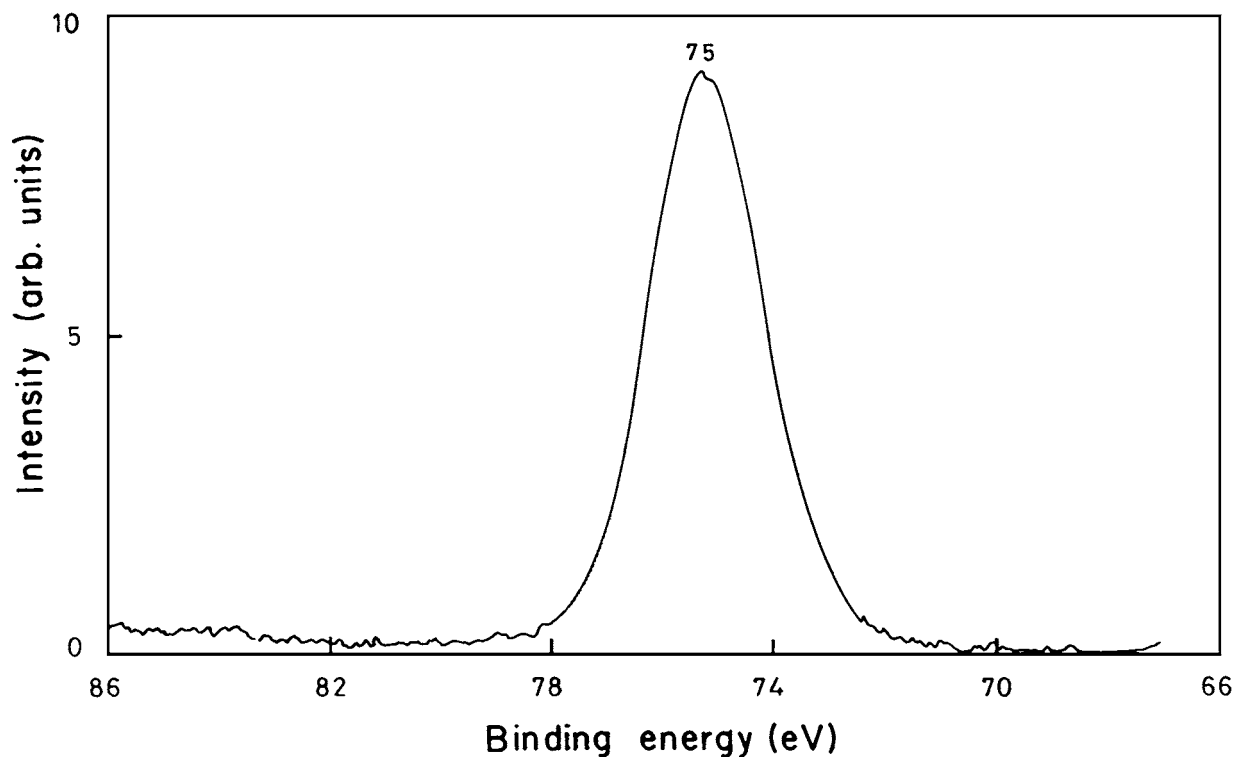


Figure 6 A high resolution Al<sub>2</sub>P<sub>3/2</sub> XPS spectrum of the Al<sub>2</sub>O<sub>3</sub> coated Co-particles.

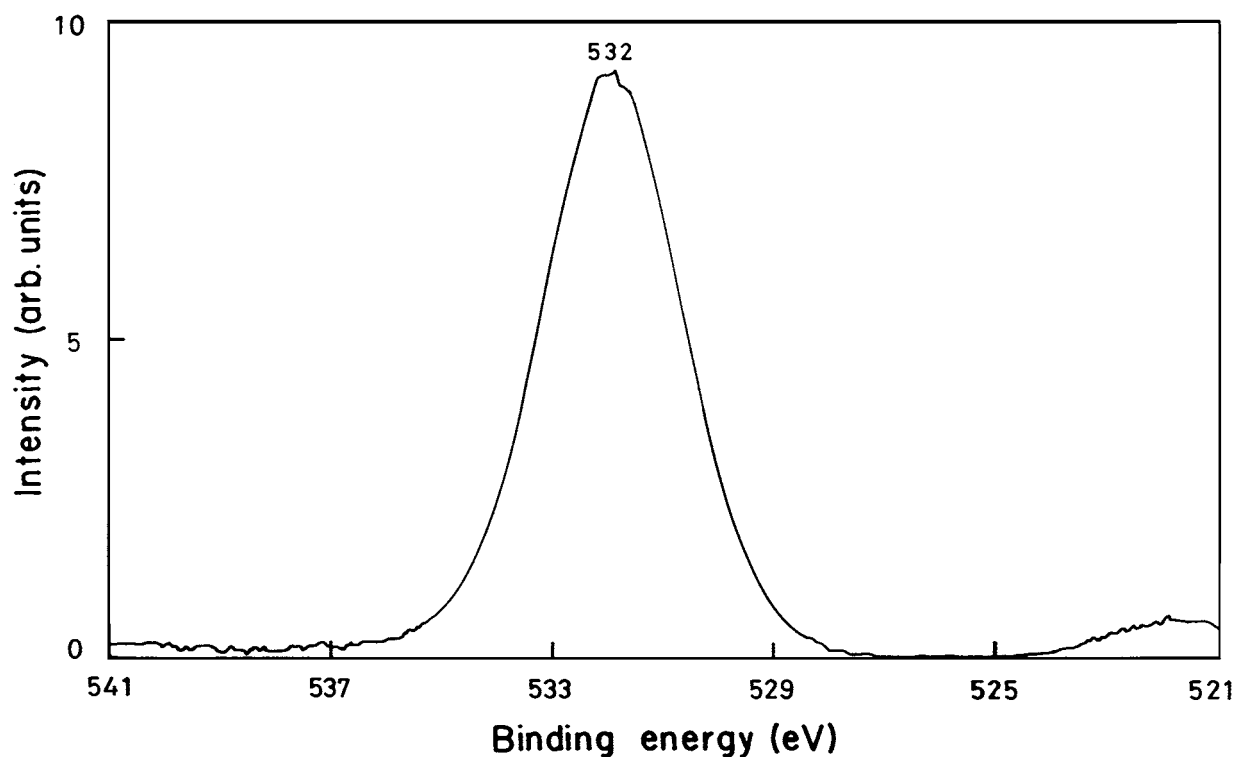


Figure 7 A high resolution O1S XPS spectrum of the Al<sub>2</sub>O<sub>3</sub> coated Co-particles.

#### 4. Conclusions

A series of samples of stable Co-particles in FCC and BCC crystal structures have been produced by encapsulating in thin amorphous Al<sub>2</sub>O<sub>3</sub> films. Peculiarly, they are grown to a large size of average  $D = 100$  nm diameter. This is achieved by co-reduction (in H<sub>2</sub> gas) of Co<sup>2+</sup>-cations dispersed in a porous AlO(OH)· $\alpha$ H<sub>2</sub>O gel. The structural properties and the process of their formation are studied with microstructures, x-ray diffractometry, and XPS studies.

In H<sub>2</sub> gas at 800–1125 K, the Co<sup>2+</sup>-cations co-reduce to Co-particles,  $D = 50$  to 100 nm, in divided groups in the matrix of Al<sub>2</sub>O<sub>3</sub>, obtained by thermal decomposition of AlO(OH)· $\alpha$ H<sub>2</sub>O during its heating at lower temperatures. The process occurs in such a manner that the Co<sup>2+</sup>-cations locally segregate in small groups through the porous matrix and co-reduce to Co-particles with a thin amorphous surface layer of Al<sub>2</sub>O<sub>3</sub> over the individual Co-particles. The thickness ( $t$ ) in the Al<sub>2</sub>O<sub>3</sub> layer is smaller than the critical size,



$2r_c \sim 3.8$  nm (diameter), in a stable  $\text{Al}_2\text{O}_3$  crystallite. It, therefore, does not recrystallize at these temperatures and strongly adheres to the metal surface. The microstructure, X-ray diffractometry and XPS analysis corroborate the results.

The  $\text{Al}_2\text{O}_3$ -surface layer supports an improved stability to the encapsulated Co-particle in the FCC or BCC structure. Therefore, it exists in highly stable form with as big size as 100 nm. Otherwise, it has a HCP structure with a reversible martensitic transformation to the FCC structure around 695 K [22, 23]. The effects brought out by the  $\text{Al}_2\text{O}_3$  surface layer on the crystal structure and size of metal particles are demonstrated with the help of microstructure and x-ray diffractometry of the samples prepared at selected temperatures. Although the size of Co-particles increases with a rise in the processing temperature ( $T_p$ ) but their average crystallite size (analyzed from the x-ray diffraction peak broadening) does not show an appreciable increment. The study further confirms that at higher  $T_p$  thicker  $\text{Al}_2\text{O}_3$  coating layer forms over the nascent surface in Co-crystallites. A sufficiently thick,  $\text{Al}_2\text{O}_3$ -layer,  $t < 2r_c$ , on a Co-crystallite controls the process of its growth by inhibiting diffusion of  $\text{Co}^{2+}$ -cations through it. It elucidates a strong correlation between the preparation conditions and the properties of the encapsulated Co-particles.

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